COMMODITY FUTURES TRADING COMMISSION

1155 Twenty-first Street NW., Washington, DC 20581
Phone, 202–418–5000. Fax, 202–418–5521. Internet, www.cftc.gov.

Chairman	REUBEN JEFFERY III
Commissioners	MICHAEL V. DUNN, FREDERICK W. HATFIELD, SHARON BROWN-HRUSKA, WALTER L. LUKKEN
General Counsel	NANETTE EVERSON
Executive Director	MADGE BOLINGER
Director, Division of Market Oversight	RICHARD SHILTS
Director, Division of Clearing and Intermediary Oversight	ANANDA RADHAKRISHNAN
Director, Division of Enforcement	GREGORY MOCEK
Chief Economist	JAMES OVERDAHL

[For the Commodity Futures Trading Commission statement of organization, see the *Code of Federal Regulations,* Title 17, Part 140]

The mission of the Commodity Futures Trading Commission is to protect market users and the public from fraud, manipulation, and abusive practices related to the sale of commodity futures and options, and to foster open, competitive, and financially sound commodity futures and option markets.

The Commodity Futures Trading Commission (CFTC) , the Federal regulatory agency for futures trading, was established by the Commodity Futures Trading Commission Act of 1974 (7 U.S.C. 4a). The Commission began operation in April 1975, and its authority to regulate futures trading was renewed by Congress in 1978, 1982, 1986, 1992, 1995, and 2000.

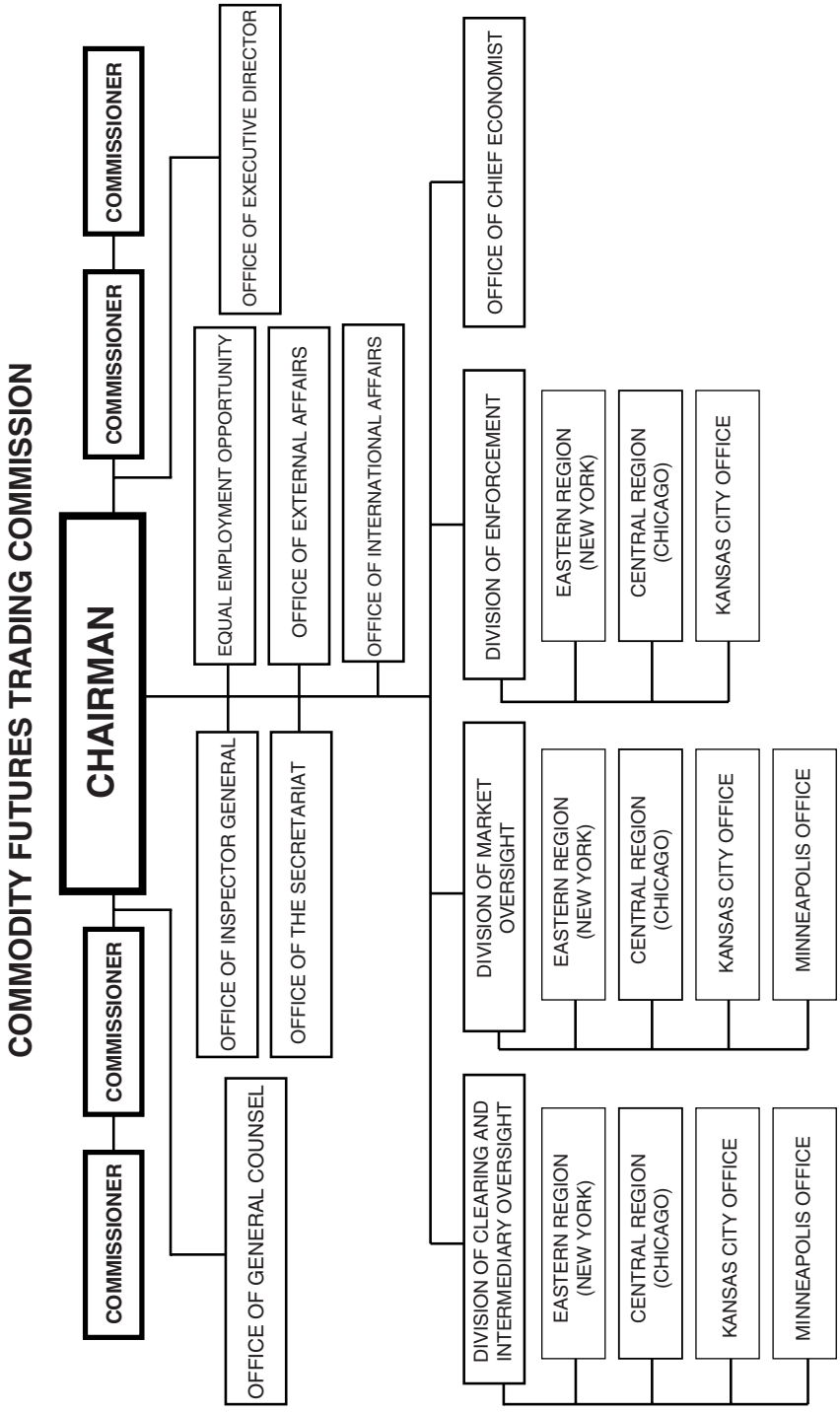
The Commission consists of five Commissioners who are appointed by the President, with the advice and consent of the Senate. One Commissioner is designated by the President to serve as Chairman. The Commissioners serve staggered 5-year terms, and by law no more than three Commissioners can belong to the same political party.

The Commission has six major operating components: the Divisions of Market Oversight, Clearing and Intermediary Oversight, and Enforcement, and the Offices of the Executive Director, General Counsel, and Chief Economist.

Activities

The Commission regulates trading on the U.S. futures markets, which offer commodity futures and options contracts. It regulates these markets in order to ensure the operational integrity of the futures markets. The Commission regulates two tiers of markets: designated contract markets and registered derivatives transaction execution facilities. It also exercises more limited regulatory or enforcement authority over other types of markets. Additionally, the Commission regulates derivatives clearing organizations. Each board of trade that operates a designated contract market must own or have a relationship with a derivatives clearing organization which provides clearing services for each futures contract executed.

The Commission also regulates the activities of numerous commodity trading professionals, including brokerage houses (futures commission merchants), futures industry salespersons (associated persons), commodity trading advisers, commodity pool operators, and floor brokers and traders.


COMMODITY FUTURES TRADING COMMISSION
COMMISSIONER
COMMISSIONER
CHAIRMAN
COMMISSIONER
COMMISSIONER
OFFICE OF GENERAL COUNSEL
OFFICE OF INSPECTOR GENERAL
OFFICE OF THE SECRETARIAT
EQUAL EMPLOYMENT OPPORTUNITY
OFFICE OF EXECUTIVE DIRECTOR
OFFICE OF EXTERNAL AFFAIRS
OFFICE OF INTERNATIONAL AFFAIRS
DIVISION OF CLEARING AND INTERMEDIARY OVERSIGHT
EASTERN REGION (NEW YORK)
CENTRAL REGION (CHICAGO)
KANSAS CITY OFFICE
MINNEAPOLIS OFFICE
DIVISION OF MARKET OVERSIGHT
EASTERN REGION (NEW YORK)
CENTRAL REGION (CHICAGO)
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MINNEAPOLIS OFFICE
DIVISION OF ENFORCEMENT
EASTERN REGION (NEW YORK)
CENTRAL REGION (CHICAGO)
KANSAS CITY OFFICE
OFFICE OF CHIEF ECONOMIST

The Commission's regulatory and enforcement efforts are designed to foster transparent and financially sound markets, encourage market competition and efficiency, ensure market integrity, and protect market participants and the public from fraud, manipulation, and abusive practices. It oversees the rules under which designated contract markets and derivatives clearing organizations operate and monitors enforcement of those rules. The Commission reviews the terms of futures contracts and registers firms and individuals who handle customer funds or give trading advice. It also protects the public by enforcing rules that require customer funds be kept in separate accounts, away from accounts maintained by firms for their own use or maintained on behalf of the firm, and that such customer accounts be marked to present market value at the close of trading each day.

The Commission maintains regional offices in Chicago, IL, and New York, NY, where many of the Nation's designated contract markets are located. Additional regional offices are located in Kansas City, MO, and Minneapolis, MN.

For further information, contact the Office of External Affairs, Commodity Futures Trading Commission, 1155 Twenty-first Street NW., Washington, DC 20581. Phone, 202–418–5080. Internet, www.cftc.gov.

CONSUMER PRODUCT SAFETY COMMISSION

4330 East-West Highway, Bethesda, MD 20814
Phone, 301–504–7908. Internet, www.cpsc.gov.

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